

**Financial Assistance Award Data Collection (FAADC)
Data Validation Document
Version 1.2.0**

Prepared for:
**General Services Administration
(GSA)**

Prepared by:

IBM

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Revision History

Version	Date Modified	Author	Description of Changes
1	11/16/2024	IBM	<p>This document contains the Validation Rules for FAADC V1.2:</p> <ol style="list-style-type: none">1. Updated verbiage in FAADC validation rules 4A2, 4A5, 4B1, 4D1, 4E1, 7A5, 1B9 and 1B10.2. Corrected Validation Rule Serial Number 4A4 to 12A6.3. Added sections for new data elements 4H, 4I, 4J and 4K.4. Added section for new data element 7C, "Predominant Assistance Listing."5. Added validation rules FAADC:1E3-1E6 for "Funding Opportunity Number."6. Added validation rule FAADC:1J5 for "Funding Opportunity Goals Text."7. Removed validation rule FAADC:1J1. "Funding Opportunity Goals Text" is required on V1.2 Grants and Cooperative Agreements regardless of "Action Date".8. Removed validation rule FAADC:1J4 which was never implemented.9. Added note that "Funding Opportunity Number" (1E) is disabled on non-Grants and non-Cooperative Agreements.10. Added section for new data element 1K, "Discretionary."11. Replaced generic tags with individual XML tags for (6) data elements. (Generic tags have been reset to null.)12. Added missing validation rule FAADC:9A5.13. Added new validation rules FAADC:4G5 and FAADC:4G6 for "Indirect Cost Federal Share Amount." <p>Added validation rules 7A3-7A7 for "Assistance Listing".</p>

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I. Overview of Validations

This document provides all validations pertaining to FAADC Module in FPDS.

FPDS will validate the data submitted to the Financial Assistance Module based on these validations. Types of validations include:

- Formatting such as verifying that dates are valid.
- Adherence to defined conventions such as the format for the Financial Assistance Identification Number (FAIN) for DoD.
- Code validation (e.g., verifying Contracting Agency Code is a valid code).
- Validating the Assistance Listing (formerly known as CFDA) entered in the records that exist in Assistance Listings.
- Ensure that the Program Source Code is valid.
- Use-case specific (e.g., for Modifications, the entered PIID must match a PIID for an award that already exists in FPDS).

Validations specified here are for data reported in FPDS for the Financial Assistance Module.

II. Guide to Validation Specification

The format of each validation entry includes Validation Reference Number, Data Elements (or Other Information Required for the Validation), and Validation Requirement.

Validation rules are organized by the data dictionary grouping and then by the data dictionary item:

- a) If the data dictionary group is not reportable then none of the validation rules apply to that group.
- b) If an item within that group is not reportable then validation rules for that particular item does not apply.
- c) If an item within that group is reportable then validation rules for that particular item do apply.

If the data element is not reportable as shown in the Use Case Summary, the validation rule does not apply for that data element. If a cross validation (validation dependent on two or more data elements) includes an element that is not reportable, the validation is not performed.

The Use Case Summary (in the Data Dictionary) defines which data elements are required for each use case. The Use Case Summary also defines data elements that are optional and not applicable.

III Agency-Specific Validations

DoD has a specific format for their Financial Award Identification Number (FAIN).

IV Validations for Data Elements

1 AWARD IDENTIFICATION INFORMATION

1A Agency Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1A1	Agency Code	The Agency Code is not valid.	1.1+
FAADC:1A2	Agency Code	The Awarding Agency Code does not belong to the hierarchy of the Agency assigned in the user profile. Please update the Awarding Agency Code.	1.1+
FAADC:1A3	Assistance Record Type	Assistance Record Type (1. Aggregate, 2. Non-Aggregate, 3. Non-Aggregate Individuals) Required for all Record Types.	1.1+
FAADC:1A4	Assistance Type	Assistance Type is required for all Record Types	1.1+
FAADC:1A5	Agency Code	URI/FAIN agency code must be same as the logged in user's agency code or department code.	1.1+
FAADC:1A6	Agency Code	Awarding office code must be same as office code assigned to user profile.	1.1+
FAADC:1A9	Delete	User does not have sufficient privileges to delete a record in Draft status.	1.1+
FAADC-DOD:1A7	Agency Code	For all Assistance Award documents received from DoD, Agency ID must equal to '9700'.	1.1+

1B Financial Assistance Identification Number (FAIN)

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1B2	Format	FAIN must be upper case alpha and numeric only. It cannot have embedded spaces or special characters. Software converts lowercase letters to uppercase, removes leading or trailing blank spaces, and removes hyphens before validation. All other non-alphanumeric characters return an error message to the user.	1.1+
FAADC:1B3	Financial Assistance Identification Number	The Financial Assistance Identification Number (FAIN) must be unique when Award is a new assistance award, and the report is Non-Aggregate.	1.1+
FAADC:1B4	URI	The URI must be unique when Award is a new assistance award, and the report is Aggregate.	1.1+
FAADC:1B5	Financial Assistance Identification Number	Once an agency assigns a FAIN and reports it to USASpending.gov, the Federal agency may not change the FAIN during the life of the award. Further, once a Federal agency assigns a FAIN, that Federal agency must ensure that the FAIN is clearly identified in all Federal award documents. As a term and condition of the award, Federal agencies must require that all entities document the assigned FAIN on each subaward under the Federal award.	1.1+
FAADC:1B6	Financial Assistance Identification Number	Financial Assistance Identification Number (FAIN) is required when Record Type is 'Non-aggregate' or 'Non-aggregate – Individual'.	1.1+
FAADC:1B8	Financial Assistance Identification Number	FAIN cannot be provided for aggregate records	1.1+
FAADC:1B9	Financial Assistance Identification Number, USASpending	This FAIN or an associated Assistance Listing was deleted and must be published in USASpending before correcting or reusing it.	1.2+
FAADC:1B10	URI, USASpending	This URI or an associated Assistance Listing was deleted and must be published in USASpending before correcting or reusing it.	1.2+

FAADC-DOD:1B7	Amendment Number	Amendment number of the Financial Assistance Identification Number (FAIN) must begin with a P or A.	1.1+
FAADC-DOD:1B8	Financial Assistance Identification Number	Financial Assistance Identification Number (FAIN) must be 13 characters long.	1.1+
FAADC-DOD:1B9	Financial Assistance Identification Number	Positions 1 to 6 of the Financial Assistance Identification Number (FAIN) must be a valid DODAAC.	1.1+
FAADC-DOD:1B10	Action Date, Financial Assistance Identification Number	Action Date must be in the same fiscal year representing the position 7 and 8 of the Financial Assistance Identification Number (FAIN).	1.1+
FAADC-DOD:1B11	Assistance Type, Financial Assistance Identification Number	Position 9 of the Financial Assistance Identification Number (FAIN) must be numeric and value of 1 when type of Assistance is Block Grant or Formula Grant or Project Grant.	1.1+
FAADC-DOD:1B12	Assistance Type, Financial Assistance Identification Number	Position 9 of the Financial Assistance Identification Number (FAIN) must be numeric and value of 2 when type of Assistance is Cooperative Agreements including technology investments that are cooperative agreements.	1.1+
FAADC-DOD:1B13	Assistance Type, Financial Assistance Identification Number	Position 9 of the Financial Assistance Identification Number (FAIN) must be numeric and value of 3 when type of Assistance is Direct Payment, Direct Loan, Guaranteed/Insured Loan, Insurance, Direct Payment with Unrestricted Use, Other Financial Assistance.	1.1+
FAADC-DOD:1B14	Awarding Office, Financial Assistance Identification Number	Awarding office code must match with the first 6 characters of the Financial Assistance Identification Number (FAIN).	1.1+
FAADC-DOD:1B16	Financial Assistance Identification Number	Positions 7 to 8 of the Financial Assistance Identification Number (FAIN) must be numeric.	1.1+

1C Amendment Number

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1C1	Format	"Amendment Number" cannot have special characters, only valid values are A-Z (a-z) or 0-9.	1.1+
FAADC:1C3	Format	Amendment Number must not be "AWARD", "BASIC", "ORIGINAL", "ORIG", "NA" or "N/A".	1.1+
FAADC:1C5	Action Type	If "Action Type" is "NEW", "Amendment Number" must be "0" (zero).	1.1+
FAADC:1C6	Action Type	On a new award, if the amendment number is "0" (zero) then the "Action Type" must be "NEW" or "CONTINUATION".	1.1+
FAADC:1C7	Use Case	There is no base document in final status with the same Financial Assistance Identification Number (FAIN) and Amendment number zero.	1.1+
FAADC:1C8	Format	Amendment number cannot be provided for aggregate records	1.1+
FAADC-DOD:1C4	Action Type, Amendment Number	For DOD, if "Action Type" is other than "NEW", "Amendment Number" must be six characters in length.	1.1+

1D Unique Record Identifier (URI)

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1D1	URI	"URI" must not exceed the maximum length of 70 characters.	1.1+

FAADC:GNT1D02	URI	URI is invalid. Allowed characters are alphanumeric, hyphen (-), colon (:), and tilde (~)	1.1+
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1E Funding Opportunity Number

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1E1	Funding Opportunity Number	"Funding Opportunity Number" must be 40 characters or less.	1.1+
FAADC:1E2	Format	"Funding Opportunity Number" can only have valid values A-Z (a-z) or 0 to 9, cannot have special characters or spaces.	1.1+
FAADC:1E3	Funding Opportunity Number, Funding Opportunity Goals Text	If a value is provided for "Funding Opportunity Number", then "Funding Opportunity Goals Text" must also have a value.	1.2+
FAADC:1E4	Funding Opportunity Number, Funding Opportunity Goals Text	If a value is provided for "Funding Opportunity Goals Text", then "Funding Opportunity Number" must also have a value.	1.2+
FAADC:1E5	Funding Opportunity Number, Competed Opportunity, Discretionary	If "Competed Opportunity" is 'Competitive Procedures were used' and "Discretionary" is 'Yes', then both "Funding Opportunity Number" and "Funding Opportunity Goals Text" are required.	1.2+
FAADC:1E6	Funding Opportunity Number, Competed Opportunity, Discretionary	If "Competed Opportunity" is 'Competitive Procedures were used' and "Discretionary" is 'Yes', then "Funding Opportunity Number" cannot be 'NOTAPPLICABLE' or 'NA' or 'NONE' or 'BLANK' or '0'.	1.2+

1F Record Type

Serial Number	Element/ Characteristics	Rule	Service Version

1G Action Type

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1G3	Action Type	Action Type is required for all Record Types.	1.1+

1H Assistance Type

Serial Number	Element/ Characteristics	Rule	Service Version

1I Assistance Description

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1I1	Assistance Description	Assistance Description must not contain Non-printable ASCII characters.	1.1+
FAADC:1I2	Assistance Description	Assistance Description must not exceed the maximum length of 18,000 characters.	1.1+

1J Funding Opportunity Goals Text

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1J2	Funding Opportunity Goals Text	“Funding Opportunity Goals Text” must not exceed the maximum length of 18,000 characters.	1.1+
FAADC:1J3	Funding Opportunity Goals Text	“Funding Opportunity Goals Text” must not contain Non-printable ASCII characters.	1.1+
FAADC:1J5	Funding Opportunity Goals Text, Competed Opportunity, Discretionary	If “Competed Opportunity” is ‘Competitive Procedures were used’ and “Discretionary” is ‘Yes’, then “Funding Opportunity Goals Text” cannot be ‘NOT APPLICABLE’ or ‘NOTAPPLICABLE’ or ‘NA’ or ‘N/A’ or ‘NONE’ or ‘BLANK’ or ‘0’.	1.2+

1K Discretionary

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:1K1	Discretionary, Assistance Type	If “Assistance Type” is Grant or Cooperative Agreement, the “Discretionary” data element is required.	1.2+
FAADC:1K2	Discretionary, Competed Opportunity, Funding Opportunity Number	If a value is provided for “Funding Opportunity Number”, then either “Competed Opportunity” must be ‘Competitive Procedures were used’ or “Discretionary” must be ‘Yes’.	1.2+

FAADC:1K3	Discretionary, Competed Opportunity, Funding Opportunity Goals Text	If a value is provided for “Funding Opportunity Goals Text”, then either “Competed Opportunity” must be ‘Competitive Procedures were used’ or “Discretionary” must be ‘Yes’.	1.2+
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2 DATES

2A Fiscal Year

Serial Number	Element/ Characteristics	Rule	Service Version

2B Action Date

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:2B1	Format	"Action Date" is not a valid date.	1.1+
FAADC:2B2	Today's Date	"Action Date" cannot be later than today's date.	1.1+
FAADC:2B3	Action Date	"Action Date" must be between "01/01/1995" and "12/31/2099".	1.1+
FAADC:2B4	Action Date	"Action Date" on the amendment cannot be earlier than the "Action Date" on the base document.	1.1+

2C Period of Performance Start Date

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:2C1	Format	"Period of Performance Start Date" must be a valid date.	1.1+
FAADC:2C2	Period of Performance Start Date	"Period of Performance Start Date" must be between "01/01/1995" and "12/31/2099".	1.1+
FAADC:2C4	Period of Performance Start Date	"Period of Performance Start Date" cannot be later than "Period of Performance End Date".	1.1+
FAADC:2C5	Period of Performance Start Date	"Period of Performance Start Date" on the amendment cannot be earlier than "Period of Performance Start Date" on the base document.	1.1+

2D Period of Performance End Date

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:2D1	Format	"Period of Performance End Date" must be a valid date.	1.1+
FAADC:2D2	Period of Performance Start Date	The "Period of Performance End Date" must be the same as or later than the "Period of Performance Start Date."	1.1+
FAADC:2D3	Period of Performance End Date	"Period of Performance End Date" cannot be earlier than the "Action Date". NOTE: This validation rule has been suppressed as of 6/29/2024.	1.1+
FAADC:2D4	Action Date	"Period of Performance End Date" must be between "01/01/1995" and "12/31/2099".	1.1+

FAADC:2D5	Period of Performance End Date	“Period of Performance End Date” on the amendment cannot be earlier than the “Action Date” on the base document.	1.1+
FAADC:2D6	Period of Performance End Date	When “Action Type” is “New Assistance Award”, “Continuation” or “Entity Change”, the “Period of Performance End Date” cannot be earlier than the “Action Date”.	1.1+

2E Created Date

Serial Number	Element/ Characteristics	Rule	Service Version

2F Created By

Serial Number	Element/ Characteristics	Rule	Service Version

2G Approved Date

Serial Number	Element/ Characteristics	Rule	Service Version

2H Approved By

Serial Number	Element/ Characteristics	Rule	Service Version

2I Last Modified Date

Serial Number	Element/ Characteristics	Rule	Service Version

2J Last Modified By

Serial Number	Element/ Characteristics	Rule	Service Version

2K Assistance Listing Correction Delete Indicator

Serial Number	Element/ Characteristics	Rule	Service Version

2L Assistance Listing Correction Delete Indicator Description

Serial Number	Element/ Characteristics	Rule	Service Version

2M FABS Sent Date

Serial Number	Element/ Characteristics	Rule	Service Version

2MA Assistance Listing’s FABS Sent Date

Serial Number	Element/ Characteristics	Rule	Service Version

2N Award Status

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:2N2	Award Status	There exists a draft action against this Assistance Award. All the actions against this Assistance Award must be finalized before the Assistance Award can be closed.	1.1+
FAADC:2N5	Agency Code, Award Status	User is not authorized to perform the Close action to this assistance family as the Awarding Sub-Tier Agency <awardingSubTierAgencyID> on the latest Modification belongs to a Department different from the Department of the Agency Code on the user profile.	1.1+

3 GOVERNMENT INFORMATION

3A Awarding Department Code

Serial Number	Element/ Characteristics	Rule	Service Version

3B Awarding Department Name

Serial Number	Element/ Characteristics	Rule	Service Version

3C Awarding Sub-Tier Agency Code

Serial Number	Element/ Characteristics	Rule	Service Version

3D Awarding Sub-Tier Agency Name

Serial Number	Element/ Characteristics	Rule	Service Version

3E Awarding Office Code

Serial Number	Element/ Characteristics	Rule	Service Version

3F Awarding Office Name

Serial Number	Element/ Characteristics	Rule	Service Version

3G Funding Department Code

Serial Number	Element/ Characteristics	Rule	Service Version

3H Funding Department Name

Serial Number	Element/ Characteristics	Rule	Service Version

3I Funding Sub-Tier Agency Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:3I1	Funding Sub-Tier Agency Code	<p><i>This Agency Code cannot be used on or after 4/27/2024 as the Funding Sub-Tier Agency Code. This is to minimize operational security risks.</i></p> <p>Note: The prohibited Agency Codes are:</p> <ul style="list-style-type: none"> • 97AB - National Geospatial Agency (NGA) • 97CG - National Security Agency (NSA) • 97CR - National Reconnaissance Agency (NRO) • 97DL - Defense Intelligence Agency (DIA) 	1.1+

3J Funding Sub-Tier Agency Name

Serial Number	Element/ Characteristics	Rule	Service Version

3K Funding Office Code

Serial Number	Element/ Characteristics	Rule	Service Version
3K3	Funding Sub-Tier Agency Code	The combination of data elements "Funding Sub-Tier Agency Code" with value "<value>" and "Funding Office Code" with value "<value>" is invalid.	1.1+

3L Funding Office Name

Serial Number	Element/ Characteristics	Rule	Service Version

4 DOLLAR VALUES

4A Federal Action Obligation

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:4A2	Federal Action Obligation	When "Action Type" is "NEW" or "CONTINUATION" on a Base award, the sum of all Assistance Listing "Federal Action Obligation" values must be greater than or equal to \$0.00.	1.2+
FAADC:12A6	Federal Action Obligation	The sum of "Federal Action Obligation" Dollars for all actions with the same FAIN must be less than or equal to the sum of the "Total Federal Award Value" for all actions with the same FAIN.	1.1+
FAADC:4A5	Federal Action Obligation	The sum of all the Research and Development dollar fields must equal the sum of all "Federal Action Obligation" values on the record.	1.2+
FAADC:12A3	Federal Action Obligation	The sum of the Federal Action Obligation for all awards with the same FAIN must be greater than or equal to \$0.00.	1.1+
FAADC:12A7	Federal Action Obligation	The sum of "Federal Action Obligation" Dollars for all actions with the same URI must be less than or equal to the sum of the "Total Federal Award Value" for all actions with the same URI.	1.1+

4B Non-Federal Funding Amount

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:4B1	Non-Federal Funding Amount	When "Action Type" is "NEW" or "CONTINUATION" on a Base award, the sum of all Assistance Listing "Non-Federal Funding Amount" values must be greater than or equal to \$0.00.	1.2+
FAADC:4B4	Non-Federal Funding Amount	The sum of "Non-Federal Funding Amount" for all actions with the same FAIN must be less than or equal to the sum of the "Total Federal Award Value" for all the actions with the same FAIN. This validation rule was never implemented.	1.1+
FAADC:12A4	Non-Federal Funding Amount	The sum of the Non-Federal Funding Amount for all awards with the same FAIN must be greater than or equal to \$0.00.	1.1+

4D Face Value of Direct Loan or Loan Guarantee

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:4D1	Face Value of Direct Loan or Loan Guarantee	When "Action Type" = "NEW" or "CONTINUATION" on a Base Award, the sum of all "Face Value of Direct Loan or Loan Guarantee" values must be greater than or equal to \$0.00.	1.2+
FAADC:12A1	Face Value of Direct Loan or Loan Guarantee	The sum of the Face Value of Direct Loan or Loan Guarantee for all awards with the same FAIN must be greater than or equal to \$0.00.	1.1+

4E Original Loan Subsidy Cost

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:4E1	Original Loan Subsidy Cost	When "Action Type" = "NEW" or "CONTINUATION" on a Base Award, the sum of all Assistance Listing "Original Loan Subsidy Cost " values must be greater than \$0.00.	1.2+
FAADC:12A2	Original Loan Subsidy Cost	The sum of the Original Loan Subsidy Cost for all awards with the same FAIN must be greater than or equal to \$0.00.	1.1+

4F Total Federal Award Value

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:4F1	Total Federal Award Value	When "Action Type" = "NEW" or "CONTINUATION" on a Base Award, "Total Federal Award Value " must be greater than zero \$0.00.	1.1+
FAADC:12A5	Total Federal Award Value	The sum of the Total Federal Award Value for all awards with the same FAIN must be greater than or equal to \$0.00.	1.1+

4G Indirect Cost Federal Share Amount

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:4G1	Indirect Cost Federal Share Amount	When "Action Type" = "NEW" or "CONTINUATION" on a Base award, "Indirect Cost Federal Share Amount" must be greater than or equal to zero \$0.00.	1.1+
FAADC:4G2	Indirect Cost Federal Share Amount	"Indirect Cost Federal Share Amount" is not applicable on actions awarded prior to October 1, 2021.	1.1+
FAADC:4G3	Indirect Cost Federal Share Amount	"Indirect Cost Federal Share Amount" should be less than or equal to Federal Action Obligation. NOTE: This validation rule has been suppressed as of 10/29/2022.	1.1+
FAADC:4G4	Indirect Cost Federal Share Amount	"Indirect Cost Federal Share Amount" is required for Grants and Cooperative Agreements when the Action Date is on or after April 4, 2022.	1.1+
FAADC:12A8	Indirect Cost Federal Share Amount	The sum of the Indirect Cost Federal Share Amount for all awards with the same FAIN must be greater than or equal to \$0.00.	1.1+
FAADC:4G5	Indirect Cost Federal Share Amount	The sum of "Indirect Cost Federal Share Amount" for all actions with the same FAIN must be less than or equal to the sum of the "Total Federal Award Value" for all actions with the same FAIN.	1.2+
FAADC:4G6	Indirect Cost Federal Share Amount	The sum of "Indirect Cost Federal Share Amount" for all actions with the same URI must be less than or equal to the sum of the "Total Federal Award Value" for all actions with the same URI.	1.2+

5 BUSINESS FUND INDICATOR

5A Business Fund Indicator

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:5A1	Business Fund Indicator	"Business Fund Indicator" must be a valid value.	1.1+
FAADC:5A2	Business Fund Indicator	If Action Date is June 28, 2019 or later, then "Business Fund Indicator" cannot be 'Funded by Recovery Act'.	1.1+

6 ENTITY DATA

6A FABS Business Types Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:6A1	Business Type	When "Entity Country Code" is "USA", "Business Type" cannot be "Non-Domestic (Non-US) Entity (W)".	1.1+
FAADC:6A2	FABS Business Types Code	At least one of the FABS Business Types must be selected for an Aggregate record type.	1.1+
FAADC:6A4	FABS Business Types Code	FABS Business Types must be "Individual" for a Non-Aggregate Individual record type.	1.1+
FAADC:6A5	SAM Business Type, FABS Business Types Code	At least one of the SAM Business Types must be selected for a Non-Aggregate record type in order to populate the FABS Business Types. Note: This rule has been suppressed on 01/27/2024.	1.1+

6B FABS Business Types Description

Serial Number	Element/ Characteristics	Rule	Service Version

6C State Application ID (SAI) Number

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:6C2	Format	"SAI Number" cannot have any special characters. Only valid values are A-Z (a-z) or 0-9.	1.1+

6D 2 CFR Part 25 Exception

Serial Number	Element/ Characteristics	Rule	Service Version

FAADC:6D1	Format	"2 CFR Part 25 Exception" must be a valid value.	1.1+
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6F Entity Congressional District

Serial Number	Element/ Characteristics	Rule	Service Version

6G Individual Entity Indicator

Serial Number	Element/ Characteristics	Rule	Service Version

6H Small Business Indicator

Serial Number	Element/ Characteristics	Rule	Service Version

6I CAGE Code

Serial Number	Element/ Characteristics	Rule	Service Version

6J Legal Business Name

Serial Number	Element/ Characteristics	Rule	Service Version

6K Entity Doing Business As Name

Serial Number	Element/ Characteristics	Rule	Service Version

6L Entity Address Line 1

Serial Number	Element/ Characteristics	Rule	Service Version

6M Entity Address Line 2

Serial Number	Element/ Characteristics	Rule	Service Version

6N Entity Address Line 3

Serial Number	Element/ Characteristics	Rule	Service Version

6O Entity Address City

Serial Number	Element/ Characteristics	Rule	Service Version

6P Entity Address State

Serial Number	Element/ Characteristics	Rule	Service Version

6Q Entity Zip Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:6Q1	Entity Zip Code	Entity zip code must be valid when the record type is 'Non-Aggregate Individuals' and Entity country code is 'USA'.	1.1+
FAADC:6Q2	Record Type	Entity zip code length must be valid when the record type is 'Non-aggregate Individuals' and Entity country code is 'USA'.	1.1+
FAADC:6Q3	Record Type	The last four digits of the Entity ZIP code cannot be "0000".	1.1+
FAADC:6Q4	Entity Zip Code	If the record type is Non-aggregate Individuals and Country is USA, then the Zip code must be present.	1.1+

6R Entity Country Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:6R1	Record Type	When Record Type is 'Non-aggregate – Individual' and Entity Country Code is other than USA, City is Required and must be a free-form text.	1.1+
FAADC:6R2	Record Type	Entity Country code is not valid for 'Aggregates' and 'Non-aggregate Individuals'.	1.1+
FAADC:6R3	Record Type	Entity Country code length is not valid for 'Aggregates' and 'Non-aggregate Individuals'.	1.1+

6S Entity Country Name

Serial Number	Element/ Characteristics	Rule	Service Version

6T Entity Phone Number

Serial Number	Element/ Characteristics	Rule	Service Version

6U Entity Fax Number

Serial Number	Element/ Characteristics	Rule	Service Version

6V Unique Entity ID

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:6V1	Unique Entity ID	"Unique Entity ID" must be 12 characters.	1.1+
FAADC:6V2	Unique Entity ID	Unable to find a record in SAM with the provided "Unique Entity ID".	1.1+

7 ASSISTANCE LISTING (FORMERLY KNOWN AS CFDA) PROGRAM INFORMATION

7A Assistance Listing (formerly known as CFDA)

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:7A1	Assistance Listing	Assistance Listing must be a valid value.	1.1+
FAADC:7A2	Assistance Listing	"Assistance Listing value" must be in the format of XX.XXX where X is a digit.	1.1+
FAADC:7A3	Assistance Listing	An Assistance Listing number cannot be used more than once on a record.	1.2+
FAADC:7A4	Assistance Listing	Only a maximum number of 5 Assistance Listings can be added to a record. Please limit the Assistance Listings to 5.	1.2+
FAADC:7A5	Assistance Listing, Federal Action Obligation, Research and Development Amount	Removing or updating this Assistance Listing on a finalized Base award will cause the R&D dollar values to not equal the Federal Action Obligation on an Amendment(s). The dollar values on this Assisting Listing must be changed to \$0 for all Amendments before this Assistance Listing can be removed or updated.	1.2+
FAADC:7A6	Assistance Listing	Assistance Listing number <value> is invalid.	1.2+
FAADC:7A7	Assistance Listing	Assistance Listing on the Amendment cannot be different than the Assistance Listing on the Base record.	1.2+

7B Assistance Listing Program Title

Serial Number	Element/ Characteristics	Rule	Service Version

7C Predominant Assistance Listing

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:7C1	Predominant Assistance Listing	The Predominant Assistance Listing cannot be deleted from an award that has been sent to USASpending.gov. In order to remove this Assistance Listing from the award, the record will have to be deleted and recreated.	1.2+
FAADC:7C2	Predominant Assistance Listing	One Assistance Listing must be marked as the 'Predominant Assistance Listing'.	1.2+
FAADC:7C3	Predominant Assistance Listing	Only one Assistance Listing can be the 'Predominant Assistance Listing'.	1.2+

8 PRINCIPAL PLACE OF PERFORMANCE INFORMATION

8A Principal Place of Performance Country Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:8A1	Principal Place of Performance Country Code	Principal Place of Performance Country Code must be valid.	1.1+
FAADC:8A2	Principal Place of Performance Foreign Location Description	If the record type is Aggregate or Non-aggregate and Principal Place of Performance Type is Foreign, then the Principal Place of Performance Foreign Location Description must be present.	1.1+
FAADC:8A3	Principal Place of Performance State Code	If the record type is Aggregate or Non-aggregate and Principal Place of Performance Type is Statewide, then the state for the Principal Place of Performance code must be present.	1.1+
FAADC:8A4	Principal Place of Performance ZIP Code	If the record type is Aggregate or Non-aggregate and Principal Place of Performance Type is City or single zipcode, then the Principal Place of Performance zip code must be present.	1.1+
FAADC:8A5	Principal Place of Performance Country Code	If the record type is Aggregate or Non-aggregate and Principal Place of Performance Type is Foreign, then the Principal Place of Performance Code must not be US or US Territories.	1.1+
FAADC:8A6	Principal Place of Performance ZIP Code	If the record type is Aggregate or Non-aggregate and Principal Place of Performance Type is Countywide, then the Principal Place of Performance ZIP Code must be present.	1.1+
FAADC:8A7	Principal Place of Performance State Code	If the record type is Aggregate or Non-aggregate and Principal Place of Performance Type is Statewide, then the Principal Place of Performance State code must be valid.	1.1+

8B Principal Place of Performance Country Name

Serial Number	Element/ Characteristics	Rule	Service Version

8C Principal Place of Performance Zip Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:8C1	Format	Principal Place of Performance ZIP code is not valid.	1.1+
FAADC:8C2	Format	Principal Place of Performance ZIP code length is not valid.	1.1+
FAADC:8C3	Format	The last four digits of the Principal Place of Performance ZIP code cannot be "0000".	1.1+

8D Principal Place of Performance City Name

Serial Number	Element/ Characteristics	Rule	Service Version

8E Principal Place of Performance State Name

Serial Number	Element/ Characteristics	Rule	Service Version

8F Principal Place of Performance County Name

Serial Number	Element/ Characteristics	Rule	Service Version

8G Principal Place of Performance Congressional District

Serial Number	Element/ Characteristics	Rule	Service Version

8H Principal Place of Performance Foreign Location Description

Serial Number	Element/ Characteristics	Rule	Service Version

8I Principal Place of Performance Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:8I2	Record Type, Principal Place of Performance Code	If the record type is Non-aggregate and Principal Place of Performance is Native American Reservation, then the Principal Place of Performance State code and City name must be a valid combination.	1.1+
FAADC:8I3	Record Type, Principal Place of Performance Code	If the record type is Non-aggregate and Principal Place of Performance Type is Native American Reservation, then the state and city for the Principal Place of Performance code must be present.	1.1+
FAADC:8I4	Record Type, Principal Place of Performance Code	If the record type is Non-aggregate and Principal Place of Performance Type is Native American Reservation, then the Principal Place of Performance State code must be valid.	1.1+

8J Principal Place of Performance Description

Serial Number	Element/ Characteristics	Rule	Service Version

8K Principal Place of Performance (PPOP) State ISO2 Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:8K1	Principal Place of Performance State Code	If the record type is 'Aggregate' or 'Non-aggregate' and Principal Place of Performance Type is 'Statewide', then the Principal Place of Performance State code must be valid.	1.1+
FAADC:8K2	Principal Place of Performance State Code	Principal Place of Performance State ISO2 code length is not valid.	1.1+

8L Principal Place of Performance (PPOP) State FIPS Code

Serial Number	Element/ Characteristics	Rule	Service Version

8M Principal Place of Performance (PPOP) County FIPS Code

Serial Number	Element/ Characteristics	Rule	Service Version

8N Principal Place of Performance (PPOP) County FIPS Name

Serial Number	Element/ Characteristics	Rule	Service Version

8O Principal Place of Performance (PPOP) City FIPS Code

Serial Number	Element/ Characteristics	Rule	Service Version

8P Principal Place of Performance (PPOP) City FIPS Name

Serial Number	Element/ Characteristics	Rule	Service Version

8Q Principal Place of Performance Type of Area

Serial Number	Element/ Characteristics	Rule	Service Version

9 NATIONAL SCIENCE FOUNDATION

9A Federal Interagency Committee on Education (FICE) Code

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:9A1	Record Type	“Federal Interagency Committee on Education (FICE) Code” is Required when Record Type is “Non-aggregate”, and Entity is Educational or Non-Profit Institution.	1.1+
FAADC:9A2	Record Type	FICE code must be blank when Record Type is ‘Aggregate’ or ‘Non-Aggregate-Individual’.	1.1+
FAADC:9A3	Record Type	FICE code must be blank when Record Type is ‘Non-aggregate’ and Entity is not Educational nor non-Profit Institution.	1.1+
FAADC:9A4	Record Type	FICE code must be blank when Record Type is ‘Non-aggregate’ and if entity is non-domestic.	1.1+
FAADC:9A5	Record Type	“Federal Interagency Committee on Education (FICE) Code” must be valid value.	1.1+

9B Research and Development Amount

Serial Number	Element/ Characteristics	Rule	Service Version

9C Fellowships and Traineeships Amount

Serial Number	Element/ Characteristics	Rule	Service Version

9D R&D Plant Amount

Serial Number	Element/ Characteristics	Rule	Service Version

9E Facilities & Equipment for Instruction in Science & Engineering Amount

Serial Number	Element/ Characteristics	Rule	Service Version

9F General Support for Science & Engineering Amount

Serial Number	Element/ Characteristics	Rule	Service Version

9G Other Activities Related to Science & Engineering Amount

Serial Number	Element/ Characteristics	Rule	Service Version

9H All Other Activities Not Related to Science & Engineering Amount

Serial Number	Element/ Characteristics	Rule	Service Version

9I Research and Development Funds Indicator

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:9I1	Type of R&D Funds Code	"Research and Development Funds Indicator" must be "R and D Funds" or "NO R and D Funds"	1.1+
FAADC:9I2	Type of R&D Funds Code	"If "Type of R and D Funds Code" is "NOT APPLICABLE" then "Research and Development Funds Indicator" must be "NO R and D Funds".	1.1+
FAADC:9I3	Research and Development Funds Indicator	If the Research and Development Funds Indicator is No R and D funds, then the Type of R and D funds code must be Not Applicable.	1.1+
FAADC:9I4	Research and Development Funds Indicator	If the Research and Development Funds Indicator is R and D funds, then the Type of R and D funds code cannot be Not Applicable.	1.1+

9J Type of R&D Funds Code

Serial Number	Element/ Characteristics	Rule	Service Version

9K Type of R&D Funds Description

Serial Number	Element/ Characteristics	Rule	Service Version

10 COMPETITION INFORMATION

10A Competed Opportunity

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC-DOD:10A2	Competed Opportunity	Mandatory element: "Competed Opportunity" is missing for the Financial Assistance.	1.1+

10B Number of Proposals or Applications

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:10B1	Number of Proposals or Applications	"Number of Proposals/Applications" must be a positive number greater than 0.	1.1+
FAADC-DOD:10B2	Number of Proposals or Applications	Mandatory element: "Number of Proposals/Applications" is missing for the Financial Assistance.	1.1+

11 SAM ENTITY BUSINESS TYPES

Serial Number	Element/ Characteristics	Rule	Service Version
FAADC:111	Business Type	When 2 CFR Part 25 is “Foreign”, at least one SAM Business Type must be selected. Note: This rule has been suppressed on 01/27/2024.	1.1+
FAADC:1101	Business Category, Business Type	When a “2 CFR Part 25 Exception” value is not ‘None’ and “Action Date” is on or after 10/29/2022, then SAM Business Category values must be blank. Note: This rule has been suppressed on 01/27/2024.	1.1+

11A U.S. Local Government

Serial Number	Element/ Characteristics	Rule	Service Version

11B City Local Government

Serial Number	Element/ Characteristics	Rule	Service Version

11C County Local Government

Serial Number	Element/ Characteristics	Rule	Service Version

11D Inter-Municipal Local Government

Serial Number	Element/ Characteristics	Rule	Service Version

11E Local Government Owned

Serial Number	Element/ Characteristics	Rule	Service Version

11F Municipality Local Government

Serial Number	Element/ Characteristics	Rule	Service Version

11G School District Local Government

Serial Number	Element/ Characteristics	Rule	Service Version

11H Township Local Government

Serial Number	Element/ Characteristics	Rule	Service Version

11I U.S. State Government

Serial Number	Element/ Characteristics	Rule	Service Version

11J U.S. Federal Government

Serial Number	Element/ Characteristics	Rule	Service Version

11K Federal Agency

Serial Number	Element/ Characteristics	Rule	Service Version

11L Federally Funded Research and Development Corp

Serial Number	Element/ Characteristics	Rule	Service Version

11M U.S. Tribal Government

Serial Number	Element/ Characteristics	Rule	Service Version

11N Foreign Government

Serial Number	Element/ Characteristics	Rule	Service Version

11O Community Development Corporation-Owned Concern

Serial Number	Element/ Characteristics	Rule	Service Version

11P Labor Surplus Area Firm

Serial Number	Element/ Characteristics	Rule	Service Version

11Q Corporate Entity, Not Tax Exempt

Serial Number	Element/ Characteristics	Rule	Service Version

11R Corporate Entity, Tax Exempt

Serial Number	Element/ Characteristics	Rule	Service Version

11S Partnership or Limited Liability Partnership

Serial Number	Element/ Characteristics	Rule	Service Version

11T Sole Proprietorship

Serial Number	Element/ Characteristics	Rule	Service Version

11U Small Agricultural Cooperative

Serial Number	Element/ Characteristics	Rule	Service Version

11V International Organization

Serial Number	Element/ Characteristics	Rule	Service Version

11W U.S. Government Entity

Serial Number	Element/ Characteristics	Rule	Service Version

11X Emerging Small Business ----RESERVED (No longer provided by SAM)**11Y SBA-Certified 8(a) Program Participant**

Serial Number	Element/ Characteristics	Rule	Service Version

11Z SBA-Certified 8(a) Joint Venture

Serial Number	Element/ Characteristics	Rule	Service Version

11AA DoT Certified Disadvantaged Business Enterprise

Serial Number	Element/ Characteristics	Rule	Service Version

11AB Self-Certified Small Disadvantaged Business

Serial Number	Element/ Characteristics	Rule	Service Version

11AC SBA Certified Historically Underutilized Business Zone (HUBZone) Firm

Serial Number	Element/ Characteristics	Rule	Service Version

11AD Small Disadvantaged Business ----RESERVED (No longer provided by SAM)

11AE The AbilityOne Program

Serial Number	Element/ Characteristics	Rule	Service Version

11AF Historically Black College or University

Serial Number	Element/ Characteristics	Rule	Service Version

11AG 1862 Land Grant College

Serial Number	Element/ Characteristics	Rule	Service Version

11AH 1890 Land Grand College

Serial Number	Element/ Characteristics	Rule	Service Version

11AI 1994 Land Grant College

Serial Number	Element/ Characteristics	Rule	Service Version

11AJ Minority Institution

Serial Number	Element/ Characteristics	Rule	Service Version

11AK Private University or College

Serial Number	Element/ Characteristics	Rule	Service Version

11AL School of Forestry

Serial Number	Element/ Characteristics	Rule	Service Version

11AM State Controlled Institution of Higher Learning

Serial Number	Element/ Characteristics	Rule	Service Version

11AN Tribal College

Serial Number	Element/ Characteristics	Rule	Service Version

11AO Veterinary College

Serial Number	Element/ Characteristics	Rule	Service Version

11AP Educational Institution

Serial Number	Element/ Characteristics	Rule	Service Version

11AQ Alaskan Native Servicing Institution

Serial Number	Element/ Characteristics	Rule	Service Version

11AR Community Development Corporation

Serial Number	Element/ Characteristics	Rule	Service Version

11AS Native Hawaiian Servicing Institution

Serial Number	Element/ Characteristics	Rule	Service Version

11AT Domestic Shelter

Serial Number	Element/ Characteristics	Rule	Service Version

11AU Manufacturer of Goods

Serial Number	Element/ Characteristics	Rule	Service Version

11AV Hospital

Serial Number	Element/ Characteristics	Rule	Service Version

11AW Veterinary Hospital

Serial Number	Element/ Characteristics	Rule	Service Version

11AX Hispanic Servicing Institution

Serial Number	Element/ Characteristics	Rule	Service Version

11AY Foundation

Serial Number	Element/ Characteristics	Rule	Service Version

11AZ Women-Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11BA Minority-Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11BB Women-Owned Small Business

Serial Number	Element/ Characteristics	Rule	Service Version

11BD Women-Owned Small Business (WOSB) Joint Venture eligible under the WOSB Program

Serial Number	Element/ Characteristics	Rule	Service Version

11BE Economically Disadvantaged Women-Owned Small Business (EDWOSB) Joint Venture

Serial Number	Element/ Characteristics	Rule	Service Version

11BF Veteran-Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11BG Service-Disabled Veteran-Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11BH Contracts

Serial Number	Element/ Characteristics	Rule	Service Version

11BI Federal Assistance Awards

Serial Number	Element/ Characteristics	Rule	Service Version

11BJ All Awards

Serial Number	Element/ Characteristics	Rule	Service Version

11BK Airport Authority

Serial Number	Element/ Characteristics	Rule	Service Version

11BL Council of Governments

Serial Number	Element/ Characteristics	Rule	Service Version

11BM Housing Authorities Public/Tribal

Serial Number	Element/ Characteristics	Rule	Service Version

11BN Interstate Entity

Serial Number	Element/ Characteristics	Rule	Service Version

11BO Planning Commission

Serial Number	Element/ Characteristics	Rule	Service Version

11BP Port Authority

Serial Number	Element/ Characteristics	Rule	Service Version

11BQ Transit Authority

Serial Number	Element/ Characteristics	Rule	Service Version

11BR Subchapter S Corporation

Serial Number	Element/ Characteristics	Rule	Service Version

11BS Limited Liability Corporation

Serial Number	Element/ Characteristics	Rule	Service Version

11BT Foreign Owned

Serial Number	Element/ Characteristics	Rule	Service Version

11BU American Indian Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11BV Alaskan Native Corporation Owned Firm

Serial Number	Element/ Characteristics	Rule	Service Version

11BW Indian Tribe (Federally Recognized)

Serial Number	Element/ Characteristics	Rule	Service Version

11BX Native Hawaiian Organization Owned Firm

Serial Number	Element/ Characteristics	Rule	Service Version

11BY Tribally Owned Firm

Serial Number	Element/ Characteristics	Rule	Service Version

11BZ Asian-Pacific American Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11CA Black American Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11CB Hispanic American Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11CC Native American Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11CD Subcontinent Asian (Asian-Indian) American Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11CE Other Minority-Owned Business

Serial Number	Element/ Characteristics	Rule	Service Version

11CF For Profit Organization

Serial Number	Element/ Characteristics	Rule	Service Version

11CG Nonprofit Organization

Serial Number	Element/ Characteristics	Rule	Service Version

11CH Other Not For Profit Organization

Serial Number	Element/ Characteristics	Rule	Service Version

11CI Self-Certified Historically Underutilized Business Zone (HUBZone) Joint Venture

Serial Number	Element/ Characteristics	Rule	Service Version

11CJ SBA-Certified Women-Owned Small Business

Serial Number	Element/ Characteristics	Rule	Service Version

11CK SBA-Certified Economically Disadvantaged Women-Owned Small Business

Serial Number	Element/ Characteristics	Rule	Service Version

11CL Small Business Joint Venture

Serial Number	Element/ Characteristics	Rule	Service Version

11CM Service-Disabled Veteran-Owned Business Joint Venture

Serial Number	Element/ Characteristics	Rule	Service Version